



Section 1

PATENT
ATTORNEY DOCKET NO. 07470/030001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

Applicant : Martin Serrano
Serial No.: 09/229,849
Filed : 1/13/99
Title : PARALLELIZING APPLICATIONS OF SCRIPT-DRIVEN TOOLS

Art Unit: 2772
Examiner:

Assistant Commissioner for Patents
Washington, DC 20231

Attention: Box Missing Part

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
AND REQUEST TO CORRECT FILING RECEIPT

Responsive to the Notice to File Missing Parts of Application under 37 CFR 1.53(d) mailed February 5, 1999 (a copy of which is enclosed), Applicant as a small entity submits herewith the following:

A Combined Declaration and Power of Attorney in compliance with 37 CFR 1.63.

A Verified Statement (Declaration) Claiming Small Entity Status.

Payment of the basic filing fee of \$380.00.

Payment of additional claim fees of \$147.00.

Payment of multiple dependent claim surcharge of \$130.00.

Payment of the surcharge of \$65.00 for late filing of the declaration and filing fee.

A check in the total amount of \$722.00 is enclosed.

Date of Deposit

4/5/99

I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

NANCY GRANT

Nancy Grant

Applicant has provided a marked-up copy of the filing receipt. Applicant requests that the filing receipt be corrected to list the inventor's city and state information as follows: **MARTIN SERRANO, Lexington, Massachusetts**. Additionally, please note that this application is entitled to Small Entity Status. Please indicate this status on the corrected filing receipt.

It is understood that this perfects the application and no additional papers or filing fees are required. If there are any other charges, or any credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 4-5-99



John Land
Reg. No. 29,554

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La Jolla, CA 92037

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87740.LJ1



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/279,849	01/13/99	SERRANO	N 07470/30001

JOHN LAND N-1
FISH & RICHARDSON
4525 EXECUTIVE SQUARE SUITE 1400
LAJOLLA CA 92037

NOT ASSIGNED

2773

DATE MAILED:

02/05/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this **NOTICE to avoid abandonment**.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 1444.00

- ☒ 1. The statutory basic filing fee is:

☒ missing.
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. Additional claim fees of \$_____, including any multiple dependent claim fees, are required.

\$ 7,000 for 1 independent claims over 3.

\$ 216.00 for 12 dependent claims over 20.

\$ 260.00 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE